

Practitioner's Docket No.: 791\_130 RCE

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Li YANG and Toshihiro YOSHIDA

Ser. No.: 09/770,725

Art Unit: 1745

Filed: January 26, 2001

Examiner: Jonathan Crepeau

Conf. No.: 6015

For: LITHIUM SECONDARY BATTERY

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION OF EFS TRANSMISSION**

I hereby certify that this paper is being transmitted via EFS  
to the Patent and Trademark Office on **October 5, 2006**.

  
Janet M. Stevens

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(d)**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after*:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311, or
- (3) an Office Action that closes prosecution ,

but before, or simultaneously with, the payment of the issue fee.

**STATEMENT AND FEE**

2. In accordance with the requirements of 37 C.F.R. 1.97(d):

A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e).

B. Applicant(s) submit(s) the submission fee set forth in § 1.17(p) (\$180.00).

**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$.  
☐ Charge Account 50-1446 in the amount of \_\_\_\_\_.  
A duplicate of this paper is attached.  
☒ The fees associated with this paper are being paid electronically with this submission.

If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

October 5, 2006

Date



Kevin C. Brown

Reg. No. 32,402

KCB:jms

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

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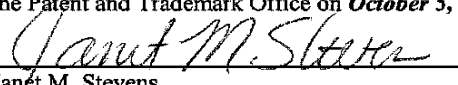
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**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)**

Sir:

- ☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

- ☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

October 5, 2006

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Reg. No. 32,402

KCB:jms

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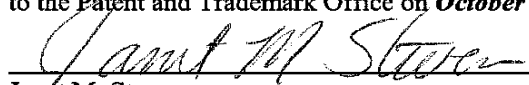
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Japanese Office Action from a corresponding Japanese patent application bearing a mailing date of September 26, 2006, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

Reference *AJ* corresponds to Reference *AI*.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Kevin C. Brown  
Reg. No. 32,402

October 5, 2006  
Date

KCB:jms

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P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Form PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Sheet

1

of

1

**Complete if Known****Application Number**

09/770,725

**Filing Date**

January 26, 2001

**First Named Inventor**

Li YANG

**Art Unit**

1745

**Examiner Name**

Jonathan Crepeau

**Confirmation No.**

6015

**Attorney Docket No.**

791\_130 RCE

**U.S. PATENT DOCUMENTS**

Exam Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
	<i>AI</i>	6,632,565	10/14/2003	Nemoto				

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
	<i>AJ</i>	11-260416	09/24/1999	JP				✓

**OTHER DOCUMENTS****(Including Author, Title, Date, Pertinent Pages etc.)**


Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.